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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Title:** "System and Method for Automating Association of Retail Items  
to Support Shopping Proposals"

**Applicant(s):** Reiner Kraft et al.

**Attorney Docket No.:** ARC920000105US1

**Serial No.:** 09/783,410

**Examiner:** Lynda C. Jasmin

**Filed:** 02/14/2001

**Art Unit:** 3627

Mail Stop: Amendment  
Commissioner for Patents  
P.O.Box 1450  
Alexandria, VA 22313-1450

AMENDMENT A

Sir:

In response to the Office action of **September 25, 2003**, please amend  
the above-identified application as follows:

**Amendments to the Claims** are reflected in the listing of claims which  
begins on page 2 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

Page 1 of 16

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Attorneys-at-Law  
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orbsak@pacbell.net

**FACSIMILE TRANSMITTAL**

|                                  |                                  |
|----------------------------------|----------------------------------|
| DATE: December 19, 2003          | FROM: Samuel A. Kassatly         |
| TO: Examiner Lynda C. Jasmin     | TELEPHONE NO.: (408) 323-5111    |
| U.S. Patent and Trademark Office | FACSIMILE NO.: (408) 323-5112    |
| Group Art Unit: 3627             | ATTY DOCKET NO.: ARC920000105US1 |
| FACSIMILE NO.: 703-872-9306      | SUBJECT: Amendment A             |

Title: "System and Method for Automating Association of Retail Items to  
Support Shopping Proposals"

Applicant(s): Reiner Kraft et al.

Serial No.: 09/783,410

Filed: 02/14/2001

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TOTAL NUMBER OF PAGES INCLUDING THIS COVER PAGE 19

**THANK YOU FOR FORWARDING THIS DOCUMENT TO EXAMINER LYNDIA C. JASMIN AS  
SOON AS POSSIBLE.**

**OFFICIAL**

Respectfully submitted,



Samuel A. Kassatly  
Reg. No. 32,247  
Date: December 19, 2003

Enclosure: Amendment A

**CERTIFICATE OF FAXING/TRANSMITTAL**

I hereby certify that this correspondence is being faxed to the U.S. Patent and Trademark Office,  
to fax No. 703-872-9306, on December 19, 2003.



Samuel A. Kassatly

PTO/SB/21 (08-03)

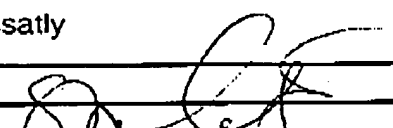
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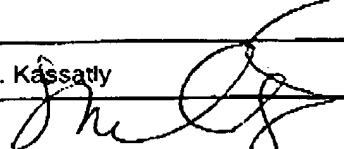
|   |                      |                        |                 |
|---|----------------------|------------------------|-----------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 09/783,410             |                 |
|   | Filing Date          | 02/14/2001             |                 |
|   | First Named Inventor | Reiner Kraft           |                 |
|   | Art Unit             | 3627                   |                 |
|   | Examiner Name        | Lynda C. Jasmin        |                 |
| Total Number of Pages in This Submission  | 19                   | Attorney Docket Number | ARC920000105US1 |

| ENCLOSURES (Check all that apply)   |  |   |
|---|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input checked="" type="checkbox"/> Amendment/Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br><input type="checkbox"/> Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br>1) Fax Cover Page<br>2) Certificate of Transmission |
| Remarks   |  |   |

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Samuel A. Kassatly  |
| Signature               |  |
| Date                    | 12/19/2003  |

## CERTIFICATE OF TRANSMISSION/MAILING

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| I hereby certify that this correspondence is being transmitted by facsimile to the centralized facsimile number (703 872-9306) at the U.S. Patent and Trademark Office, on the date shown below. |   |      |            |
| Typed or printed name  | Samuel A. Kassatly  |      |            |
| Signature  |  | Date | 12/19/2003 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/17 (10-03)

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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 0

**Complete if Known**

|                      |                 |
|----------------------|-----------------|
| Application Number   | 09/783,410      |
| Filing Date          | 02/14/2001      |
| First Named Inventor | Reinar Kraft    |
| Examiner Name        | Lynda C. Jasmin |
| Art Unit             | 3627            |
| Attorney Docket No.  | ARC920000105US1 |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number  
09-0441Deposit Account Name  
International Business Machines

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity        |          | Small Entity  |          | Fee Description        | Fee Paid       |
|---------------------|----------|---------------|----------|------------------------|----------------|
| Fee Code (\$)       | Fee (\$) | Fee Code (\$) | Fee (\$) |                        |                |
| 1001                | 770      | 2001          | 385      | Utility filing fee     |                |
| 1002                | 340      | 2002          | 170      | Design filing fee      |                |
| 1003                | 530      | 2003          | 265      | Plant filing fee       |                |
| 1004                | 770      | 2004          | 385      | Reissue filing fee     |                |
| 1005                | 180      | 2005          | 80       | Provisional filing fee |                |
| <b>SUBTOTAL (1)</b> |          |               |          |                        | <b>(\$ ) 0</b> |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       |    | Extra Claims |   | Fee from below |    | Fee Paid |   |
|--------------------|----|--------------|---|----------------|----|----------|---|
|                    |    |              |   |                |    |          |   |
| Independent        | 20 | -20** =      | 0 | x              | 18 | =        | 0 |
| Multiple Dependent | 3  | -3** =       | 0 | x              | 86 | =        | 0 |
|                    |    |              |   |                |    |          |   |

| Large Entity        |          | Small Entity  |          | Fee Description  | Fee Paid       |
|---------------------|----------|---------------|----------|--|----------------|
| Fee Code (\$)       | Fee (\$) | Fee Code (\$) | Fee (\$) |  |                |
| 1202                | 18       | 2202          | 9        | Claims in excess of 20                                     |                |
| 1201                | 86       | 2201          | 43       | Independent claims in excess of 3                          |                |
| 1203                | 290      | 2203          | 145      | Multiple dependent claim, if not paid                      |                |
| 1204                | 86       | 2204          | 43       | ** Reissue independent claims over original patent         |                |
| 1205                | 18       | 2205          | 9        | ** Reissue claims in excess of 20 and over original patent |                |
| <b>SUBTOTAL (2)</b> |          |               |          |  | <b>(\$ ) 0</b> |

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES****Large Entity - Small Entity**

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee (\$) | Fee Description  | Fee Paid |
|---------------|----------|---------------|----------|--|----------|
| 1051          | 130      | 2051          | 65       | Surcharge - late filing fee or oath  |          |
| 1052          | 50       | 2052          | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053          | 130      | 1053          | 130      | Non-English specification  |          |
| 1812          | 2,520    | 1812          | 2,520    | For filing a request for ex parte reexamination                            |          |
| 1804          | 920*     | 1804          | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805          | 1,840*   | 1805          | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251          | 110      | 2251          | 55       | Extension for reply within first month                                     |          |
| 1252          | 420      | 2252          | 210      | Extension for reply within second month                                    |          |
| 1253          | 950      | 2253          | 475      | Extension for reply within third month                                     |          |
| 1254          | 1,480    | 2254          | 740      | Extension for reply within fourth month                                    |          |
| 1255          | 2,010    | 2255          | 1,005    | Extension for reply within fifth month                                     |          |
| 1401          | 330      | 2401          | 165      | Notice of Appeal   |          |
| 1402          | 330      | 2402          | 165      | Filing a brief in support of an appeal                                     |          |
| 1403          | 290      | 2403          | 145      | Request for oral hearing   |          |
| 1451          | 1,510    | 1451          | 1,510    | Petition to Institute a public use proceeding                              |          |
| 1452          | 110      | 2452          | 55       | Petition to revive - unavoidable   |          |
| 1453          | 1,330    | 2453          | 665      | Petition to revive - unintentional   |          |
| 1501          | 1,330    | 2501          | 665      | Utility issue fee (or reissue)   |          |
| 1502          | 480      | 2502          | 240      | Design issue fee   |          |
| 1503          | 640      | 2503          | 320      | Plant issue fee  |          |
| 1460          | 130      | 1460          | 130      | Petitions to the Commissioner  |          |
| 1807          | 50       | 1807          | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806          | 180      | 1806          | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021          | 40       | 8021          | 40       | Recording each patent assignment per property (times number of properties) |          |
| 1809          | 770      | 2809          | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810          | 770      | 2810          | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801          | 770      | 2801          | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802          | 900      | 1802          | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 0**SUBMITTED BY**

Name (Print/Type) Samuel A. Kassaly

Signature

Registration No.

32,247

Name/Address

Telephone 408-323-5111

Date 12/19/2003

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